RESOLUTION No. 2019-16

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF LOS ALTOS, CALIFORNIA AUTHORIZING PREQUALIFICATION OF BIDDERS FOR PUBLIC WORKS CONTRACTS

WHEREAS, Section 20101 of the California Public Contract Code establishes procedures for certain local agencies wishing to prequalify bidders on public works projects; and

WHEREAS, the Department of Industrial Relations ("DIR") has developed standardized questionnaires and model guidelines for rating bidders pursuant to Public Contract Code Section 20101 (hereafter "Model Guidelines"); and

WHEREAS, under Section 20101, in order to prequalify bidders, the City Council must "adopt and apply a uniform system of rating bidders, based on objective criteria that set forth the minimum requirements permitted for qualification" and establish a process by which bidders who do not qualify may appeal; and

WHEREAS, the City has determined that adopting bidder prequalification procedures in accordance with Public Contract Code section 20101, and modeled after the Model Guidelines, will benefit the City by providing an opportunity for the City to review prospective bidders' track record in detail and to create a more competitive pool of bidders; and

WHEREAS, the City Council has determined that adopting bidder prequalification procedures and establishing an appeal committee will streamline the formal bidding process and further the City Council's goals to operate efficiently and in a businesslike manner.

NOW THEREFORE BE IT RESOLVED AND ORDERED AS FOLLOWS:

Section 1. The City Council hereby adopts the uniform system of rating bidders and process for appeals set forth in the "Request for Prequalification of Bidders" for the City attached hereto as Exhibit "A" and incorporated herein by reference ("Prequalification Package"). The City Manager, or his or her designee, is hereby authorized to prequalify bidders on all types and sizes of public works contracts on behalf of the City, either on an annual basis or on a project specific basis, pursuant to the attached Prequalification Package. The City Manager may use the scoring system provided in the attached Prequalification Package or may designate one or more alternative scoring systems for annual prequalifications, project specific prequalifications or both consistent with the requirements of the Public Contract Code.

Section 2. For purposes of the attached Prequalification Package, the City Council hereby establishes the Bidder Prequalification Appeals Panel ("Appeals Panel") consisting of the three department heads, or their designee(s), to be appointed by the City Manager. Whenever a project is to be administered by the department of any member of the Appeals Panel, the City Manager is hereby authorized to and shall appoint to the Appeals Panel an alternate department head from another department. The sole issue before the Appeals Panel shall be the scoring of a prospective bidder. The decision of the Appeals Panel shall be the City's final administrative decision and any judicial review thereof shall be instituted no later than the time period referred to in section 1094.6 of the Code of Civil Procedure.

Section 3. Effective Date. This Resolution shall take effect immediately upon its adoption.

PASSED AND ADOPTED by the City Council of the City of Los Altos on the 23rd day of April, 2019, by the following vote:

AYES:

BRUINS, ENANDER, FLIGOR, LEE ENG, PEPPER

NOES:

NONE

ABSENT:

NONE

ABSTAIN:

NONE

Lynette Lee Eng, MAYOR

Attest:

Jon Maginot, CMC, CITY CLERK

EXHIBIT "A"

REQUEST FOR PREQUALIFICATION OF BIDDERS [ATTACHED BEHIND THIS PAGE]



REQUEST FOR PRE-QUALIFICATION OF BIDDERS FOR:

LOS ALTOS COMMUNITY CENTER REDEVELOPMENT PROJECT CF-01002

97 HILLVIEW AVENUE, LOS ALTOS

PRE-QUALIFICATION MEETING: 1:00 P.M., MONDAY

May 06, 2019

SUBMITTAL DEADLINE: 1:00 P.M., THURSDAY

MAY 09, 2019

CITY of LOS ALTOS: City of Los Altos

engineer@losaltosca.gov

(650) 947-2780

Complete Pre-qualification documentation can be found at: https://bids.losaltosca.gov/

CITY OF LOS ALTOS ENGINEERING SERVICES DEPARTMENT 1 North San Antonio Road LOS ALTOS, CA. 94022 (650) 947-2780

REQUEST FOR PRE-QUALIFICATION ("RFQ") OF BIDDERS FOR THE CITY OF LOS ALTOS COMMUNITY CENTER REDEVELOPMENT PROJECT

Notice is hereby given that the City of Los Altos ("City") has determined that all general contracting bidders ("Contractors") on the CITY OF LOS ALTOS COMMUNITY CENTER REDEVELOPMENT PROJECT CF-01002 ("Project") must be pre-qualified prior to submitting a bid on this Project. If two or more business entities expect to submit a bid as part of a Joint Venture, each entity within the Joint Venture must be separately prequalified to bid. It is mandatory that all Contractors who intend to submit a bid, fully complete the pre-qualification questionnaire, provide all materials requested herein, and be approved by the City to be on the final qualified Contractors list. No bid will be accepted from a Contractor that is not pre-qualified to bid.

The last date to submit a fully completed questionnaire is **THURSDAY**, **MAY 09**, **2019 at 1:00 p.m.** Contractors are encouraged to submit pre-qualification packages as soon as possible, to ensure acceptance before the submittal deadline.

PROJECT DESCRIPTION:

The existing Los Altos Community Center, located at 97 Hillview Avenue, Los Altos, was originally a school. It contains approximately 9 buildings with several ancillary structures which function as the community center. The layout of the buildings does not efficiently provide for the future needs of the community and the structures are nearing the end of their useful lifecycle; therefore, the City requires the existing buildings, including the parking lot that serves it, to be demolished in preparation for the construction of a new 24,500 SF community center.

The location of the site is nestled within a residential neighborhood and located within the greater Los Altos City Campus. The campus also contains City Hall, the library, Los Altos Police Department, a history museum, the Bus Barn Theater, a soccer field, and a baseball field. These facilities will remain open and operational during construction of the new community center.

Additional information on the design, its progress, and public meetings can be found at the following website: www.losaltosca.gov/communitycenter.

The City has contracted with Noll & Tam Architects (Architect) of Berkeley to provide the architectural and engineering design for this Project. The design plans for the Project have been conditionally approved by the City Council and have been presented to the community.

The cost of construction has been estimated to be approximately \$24M and construction is scheduled to start in late July or early August 2019.

The construction work must be executed in compliance with applicable statutes, ordinances, rules, and regulations of all Federal, State, County, City, and other agencies having jurisdiction over the Project.

OBTAINING THE RFQ

RFQ documentation may be obtained, free of charge, by downloading it from https://bids.losaltosca.gov/. Note: You must create an account and add yourself to the Planholder's list to view the complete RFQ.

The Pre-Qualified Bidders List will be published by the City in advance of the bidding for the Project. Only Contractors that are pre-qualified may bid the Project.

As of the issuance date of this RFQ and continuing until the final date for submission of qualifications, all Contractors are specifically directed not to hold meetings, conferences, or technical discussions with any City official, officer or employee for purposes of responding to this pre-qualification except as otherwise permitted by this document or unless invited to do so. Any Contractor found to be acting in any way contrary to this directive may be disqualified from entering into any agreement that may result from this pre-qualification.

Questions for clarification purposes shall be submitted no later than the deadline to submit written questions listed in the schedule below, via the City website and to the appropriate contact person noted below:

Attn: Shauna McKimmie https://bids.losaltosca.gov/

If changes to the RFQ are warranted, they will be made in writing, clearly marked as addenda to the RFQ, and posted to the City's website at https://bids.losaltosca.gov/. It is the responsibility of each Contractor to check the website listed above for changes and/or clarifications to the RFQ prior to submission of the pre-qualification packages.

OBTAINING THE PROJECT PLANS

Project plans are currently in the building permit review stage. Digital plans may be made available on the City website at https://bids.losaltosca.gov/; however, they are not the final construction documents and are provided for reference purposes only. They will be revised prior to bid.

PRE-QUALIFICATION SUBMITTAL COSTS

The City shall not be liable for any cost incurred by the Contractor in the preparation of its submittal or for any work or services performed by the Contractor prior to the execution of an Agreement for the Project. The City is not obligated to pay any costs, expenses, damages or losses incurred by any Contractor at any time unless the City has expressly agreed to do so in writing.

The RFQ and all materials submitted in response to the RFQ will become the property of the City.

PRE-QUALIFICATION SCHEDULE:

RFQ issued	April 24, 2019
Pre-Qualification Meeting	May 06, 2019
Deadline for Requests for Clarifications	May 06, 2019
Last Day for Issuance of Addenda	May 07, 2019
Pre-Qualification Package Due Date from	May 09, 2019
Contractors	
Pre-Qualified Contractors Identified	Week of May 13, 2019
Notice of Pre-Qualification Status	Week of May 13, 2019
Appeal Period	5 Business Days following Notice of Pre-
	Qualification Status

The above scheduled dates are tentative, and City retains the sole discretion to adjust the above schedule. Nothing set forth herein shall be deemed to bind City to award a contract for the Project and City retains the sole discretion to cancel or modify any part of or all of this RFQ at any time.

PRE-QUALIFICATION SUBMITTAL:

Pre-qualification packages will be received until 1:00pm, Thursday, May 09, 2019 at the address below. Fax or email copies are not acceptable. Please provide 1 paper copy and 1 PDF copy on a USB thumb drive. Sealed envelopes shall be labeled as below:

City of Los Altos
Engineering Services Department
Pre-qualification Documents for
Los Altos Community Center Redevelopment Project CF-01002
1 North San Antonio Road
Los Altos, CA. 94022

The RFQ requires the completion of the following documents:

- 1) Pre-qualification questionnaire which must be returned, in its entirety, as described in the instructions.
- 2) Non-Collusion Affidavit.

PRE-QUALIFICATION PROCESS:

A non-mandatory Pre-Qualification Conference is scheduled for Monday, May 06, 2019. The Conference shall begin promptly at 1:00 P.M. and shall be held at Neutra House, 181 Hillview Avenue, Los Altos, CA 94022. The Conference is not mandatory; however,

Contractors are strongly encouraged to attend. This conference is limited to prequalification of general contractors; subcontractors are not required to apply for prequalification at this time.

Remote access to the Pre-Qualification Conference is available by dialing into the following: 1 (267) 930-4000, Access Code: 345 736 221#

Note: Not all questions in the questionnaire are scoreable; some questions simply ask for information about the contractor's organizational structure, officers, current bonding capacity, notarized statement from surety, and the most recent reviewed or audited financial statements, with accompanying notes and supplemental information, as required. Failure to provide this information may result in disqualification.

Please refer to Part III, Recent Construction Projects Completed, prior to filling out the questionnaire to ensure your firm meets, at a minimum, the requirements as outlined in Part III. Contractors failing to submit 4 projects that are comparable as defined in Part III may result in disqualification.

The City will use these documents as the basis of evaluating Contractors with respect to the size and scope of contracts upon which each contractor is qualified to bid. The City reserves the right to check other sources available to confirm accuracy of the information submitted. The City's decision will be based on objective, uniform evaluation criteria.

To pre-qualify, a firm is required to have a predetermined passing grade within each of the categories along with an overall passing score as identified below:

General Requirements: Summary Instructions to Applicant: No points assigned. Pass/Fail only.

Part I: Essential Requirements for Qualification: No points assigned. Pass/Fail only.

Part II: Organizational Structure, History, Organizational Performance, and Compliance with Civil and Criminal Laws:

- Section A: Current Organization and Structure of the Business. No points assigned. Pass/Fail only for completion of the section.
- Section B: History of the Business and Organizational Performance
 - Questions 2-4 and 9-10, 24, 25, 26, 27, 29, 30: No points assigned. Pass/Fail only.
 - Questions 5-8 and 11-23, 28, 31: Must not have checked any boxes that would result in disqualification AND obtain a minimum of 75% of the total points available.
- Section C: Compliance with Occupational Safety and Health Laws and other Legislation Safety Requirements

 Questions 32-37: Must not have checked any boxes that would result in disqualification AND obtain a minimum of 75% of the total points available.

Part III: Recent Construction Projects Completed:

- Question 38: Four (4) projects submitted must meet the minimum requirements as outlined in the "Experience Requirements". No points assigned. Pass/Fail only.
- Question 38A: Points will be assigned to each project. Each project submitted must obtain a minimum of 75% of the total points available for each project.

The City reserves the right to rescind the pre-qualification rating based on subsequently learned information. If subsequent learned information causes a Contractor's rating to change, and result in disqualification, Contractor will be notified and given an opportunity for a hearing consistent with the hearing procedures described below for appealing a pre-qualification rating.

The pre-qualification process will assist the City in determining Contractor responsibility prior to bid, and to aid the City in selecting the lowest responsible Contractor, and as to whether a Contractor has the quality, fitness, capacity and experience to satisfactorily perform the proposed work, and has demonstrated the requisite trustworthiness. The pre-qualification packages (questionnaire answers and financial statements) submitted by Contractors are not public records and are not open to public inspection, pursuant to Public Contract Code 20101. All information provided will be kept confidential to the extent permitted by law. However, the contents may be disclosed to third parties for purpose of verification, or investigation of substantial allegations, or in an appeal hearing. State law requires that the names of contractors applying for pre-qualification status shall be public records subject to disclosure, and the first page of the questionnaire will be used for that purpose.

Each questionnaire must be signed under penalty of perjury in the manner designated at the end of the form, by an individual who has the legal authority to bind the Contractor on whose behalf that person is signing. If any information provided by a Contractor becomes inaccurate, the Contractor must immediately notify the City and provide updated accurate information in writing, under penalty of perjury.

The City reserves the right to waive minor irregularities and omissions in the information contained in the pre-qualification application submitted, to make all final determinations, and to determine at any time that the pre-qualification procedures will not be applied to the Project.

Contractors may submit pre-qualification packages anytime during the pre-qualification period with all the requisite attachments required by this document. The following documents must be submitted as part of the pre-qualification package:

- 1) Pre-qualification questionnaire which must be returned, in its entirety, as described in the instructions, including all required attachments.
- 2) Non-Collusion Affidavit

All documentation shall be submitted as set forth in the Pre-Qualification Submittal section above. The City may refuse to grant pre-qualification where the requested information and materials are not provided, or not provided by **May 09, 2019 at 1:00p.m.** There is no appeal from a refusal of pre-qualification for an incomplete or late application. The due date for the pre-qualification packages will not be changed to accommodate supplementation of incomplete submissions or late submissions.

There will be no public opening of the pre-qualification packages. The City reserves the right to seek further information or clarification from any bidder upon evaluation of the pre-qualification packages.

If any information provided becomes inaccurate, the Contractor must immediately notify the City and provide updated accurate information in writing, under penalty of perjury.

APPEALS PROCESS

Where a timely and completed application results in a rating below that necessary to pre-qualify, an appeal can be made. A Contractor who has submitted a complete pre-qualification package, and who receives a rating of "not qualified" from the City may appeal that determination. There is no appeal from a finding that a Contractor is not prequalified because of a failure to submit required information. A Contractor may appeal the City's decision with respect to its request for pre-qualification, and request a hearing, by giving written notice of appeal and submitting a deposit of one thousand dollars (\$1,000) to the City no later than 5:00PM of the fifth (5th) business day after City's issuance of the written notice of the Contractor's qualification status. The request for review shall specify the grounds for disputing the determination of not qualified. All matters not set forth in the written request shall be deemed waived. Unless City receives the written notice of appeal and deposit within the time specified above, the Contractor waives any and all rights to challenge the qualification decision of the City, whether by administrative process, judicial process or any other legal process or proceeding.

If the Contractor gives the required notice of appeal and requests a hearing, the hearing shall be conducted so that it is concluded no later than ten (10) working days after the City's receipt of the Contractor's notice of appeal and the \$1,000 filing deposit. The hearing so provided shall be an informal process conducted by a panel to whom the City has delegated responsibility to hear such appeals (the "Appeals Panel"). At or prior to the hearing, the Contractor will be advised of the basis for the City's pre-qualification determination. The Contractor will be given the opportunity to present information and present reasons in opposition to the pre-qualification determination. Following the hearing but no later than three (3) working days after completion of the hearing, the Appeals Panel will render its decision, which will be final and binding. It is the intention of the City that the date for submission and opening of bids for the Project will not be delayed or postponed to allow for completion of an appeal process. If the Contractor's appeal is rejected by the Appeals Panel, the Contractor's \$1,000 filing deposit shall be forfeited to the City. Otherwise the deposit will be returned to the Contractor following issuance of the Appeals Panel's decision. If no timely request for review is filed, the not qualified determination shall be final and all rights of the Contractor to challenge the decision of the City, whether by administrative process, judicial process, or any other

legal process or proceeding shall be waived. The decision of the hearing officer or hearing committee shall be final.

It is the direction of the City that the decision of the hearing officer or hearing committee shall be made simultaneously during the solicitation of bids. Contractor shall not be entitled to bid deadline extension during appeal of their pre-qualification status.

A Contractor may be found not pre-qualified until the Contractor submits all additional information requested within the time frame as established by the City. In addition, a Contractor may be found not pre-qualified for:

- 1. Falsification of information
- 2. Omission of requested information.

CONTRACTOR'S LICENSE REQUIREMENT

The Contractor for this work shall possess a valid California State Contractor's License in the following category: Class-A or Class-B (General Building Contractor).



PRE-QUALIFICATION QUESTIONNAIRE

FOR: CITY OF LOS ALTOS COMMUNITY CENTER 97 HILLVIEW AVENUE, LOS ALTOS

Summary Instructions to Applicant See more detailed instructions in the Request for Pre-qualification

- 1. Applicant must complete the Pre-qualification Questionnaire and forward it to the City, in accordance with the instructions.
- 2. Applicant must attach its latest reviewed or audited financial statements with accompanying notes and supplemental information (see exemptions detailed below).
- 3. Applicant must submit proof of Commercial General Liability Insurance, in accordance with the requirements specified herein.
- 4. Applicant must attach a notarized statement from an admitted surety insurer (approved by the California Department of Insurance) authorized to issue bonds in the State of California, which states that the Applicant will be able to provide Payment and Performance bonds for this Project, at an assumed value up to \$25,000,000.
- 5. Applicant must include references, from each of the 4 representative projects described on the Project Data Sheets. If Applicant submits additional projects, the City will only use the first 4 submitted to evaluate pre-qualification. All other submitted projects will not be reviewed.
- 6. Any false statements by an Applicant may be cause for disqualification.
- 7. Failure to meet the requirements as outlined in PART III, Recent Construction Projects "Experience Requirements" with respect to the type of project, may result in disqualification regardless of point total.

APPLICANT CONTACT INFORMATION

Firm Name: (as it appears on license)	Check One:	Corporation Partnership Sole Prop.
Contact Person:		
Address:		
Phone:	Fax:	
If firm is a sole proprietor or partnership:		
Owner(s) of Company		
Contractor's License Number(s) with classi	fications and exp	oiration dates:
		-

PART I. ESSENTIAL REQUIREMENTS FOR QUALIFICATION

Contractor will be immediately disqualified if the answer to any of questions 1 through 5 is "No." 1

Ι.	Contractor possesses a valid and current California Contractor's license (Class A or Class B) for the project for which it intends to submit a bid. Yes No
2.	Contractor has a commercial general liability insurance policy with a policy limit of at least \$5,000,000 per occurrence and \$10,000,000 aggregate and pollution liability of \$5,000,000. Yes No
3.	Contractor has current workers' compensation insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 et. seq. Yes No Contractor is exempt from this requirement, because it has no employees
1.	Contractor has attached its latest copy of a <u>reviewed</u> or <u>audited</u> financial statement with accompanying notes and supplemental information. ² Yes No
5.	Contractor has attached a notarized statement from an admitted surety insurer (approved by the California Department of Insurance) authorized to issue bonds in the State of California, which states that the Contractor's current bonding capacity is sufficient for the project for which it seeks pre-qualification. ³ Yes No
	NOTE: Notarized statement must be from the surety company, not an agent or broker.

¹ A "no" answer to Question 4 will not be disqualifying if the contractor is exempt from complying with Question 4, for reasons explained in footnote 2.

² Public Contract Code section 20101(e) exempts from this requirement a contractor who has qualified as a small business pursuant to Government Code section 14837(d)(1), if the bid is "no more than 25 per cent of the qualifying amount provided in section 14837(d)(1)." As of January 1, 2001, the qualifying amount is \$10 million, and 25 per cent of that amount, therefore, is \$2.5 million. A financial statement that is not reviewed or not audited is not acceptable. A letter verifying a line of credit may be attached; however, it will be considered as supplemental information only and will not be acceptable as a substitute for the required financial statement.

³ An additional statement from the surety may be requested by City of Palo Alto at the time of submission of a bid, if this pre-qualification package is submitted more than 60 days prior to submission of the bid.

NOTE: Contractor will be immediately disqualified if the answer to any of questions 6 through 10 is "Yes." If the answer to question 9 is "yes," and if debarment would be the sole reason for denial of pre-qualification, any pre-qualification issued will exclude the debarment period.

6.	During the last five (5) years, has Contractor ever constructed any project over \$10,000,000 (contract amount) that was completed more than forty (40) calendar days after the authorized contract completion date?
	☐ Yes ☐ No
7.	Has Contractor's California state contractor's license been revoked at any time in the last five (5) years?
	Yes No
8.	Has a surety firm completed a contract on Contractor's behalf, or paid for completion because Contractor was terminated for default by a project owner within the last five (5)
	years?
	☐ Yes ☐ No
9.	At the time of submitting this pre-qualification form, is Contractor ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7? Yes No
	If the answer is "Yes," state the beginning and ending dates of the period of debarment:
10.	At any time during the last five (5) years, has Contractor or any of its owners or officers been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?
	☐ Yes ☐ No

⁴ A contractor disqualified solely because of a "Yes" answer given to question 7, 8, or 10 may appeal the disqualification and provide an explanation of the relevant circumstances during the appeal procedure.

PART II. ORGANIZATION, HISTORY, ORGANIZATIONAL PERFORMANCE, AND COMPLIANCE WITH CIVIL AND CRIMINAL LAWS

A. Current Organization and Structure of the Business

For C	Contractors That	Are Corpora	tions:			
1a. 1b.	Date incorporate Under the laws of	of what state:				
lc.		sident, vice p	oresident,	secretary, treasurer	tho is either (a) and (b), or (b) the owner of	
	Name	Position		Years with Co.	% Ownership	
1d.	owner, general p	oartner, limite s question, "	d partner owner" a	or officer) at any tin and "partner" refe	oove has been associ me during the last five er to ownership of 1 , if the business is a	e years. O percent or corporation
	Person's Name		Constru	etion Firm	Dates of Person Participation	
For C	Contractors That	Are Partner	ships:			
1a. 1b. 1c.	Date of formation Under the laws of Provide all the fof the firm.	of what state:		or each partner wh	o owns ten percent (0%) or more
	Name	Position		Years with Co.	% Ownership	

1 d.	Identify every construction company that any partner has been associated with (as owner,
	general partner, limited partner or officer) at any time during the last five years.
	NOTE: For this question, "owner" and "partner" refer to ownership of 10 percent or
	more of the business, or 10 percent or more of its stock, if the business is a corporation.

Person's Name	Construction Firm	Dates of Person's Participation with Firm

For	Contractors	That	Are	Sole	Pro	prietorships:

la.	Date of commencement	of business	
ıu.		or ousiness.	

Ib. Identify every construction firm that the business owner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, "owner" and "partner" refer to ownership of 10 percent or more of the business, or 10 percent or more of its stock, if the business is a corporation.

Person's Name	Construction Company	Dates of Person's Participation with Company

For Contractors That Intend to Make a Bid as Part of a Joint Venture:

la.	Date of commencement of joint venture.
Ia.	Tale of confinencement of joint venture.

1b. Provide all of the following information for each firm that is a member of the joint venture that expects to bid on one or more projects:

Name of firm	% Ownership of Joint Venture

	History of the Business and Organizational Performance (to be completed by All cants)
2.	Has there been any change in ownership of Contractor at any time during the last three years? NOTE: A corporation whose shares are publicly traded is not required to answer this question.
	Yes No Publicly Traded
	If "yes," explain on a separate signed page.
3.	Is Contractor a subsidiary, parent, holding company or affiliate of another construction firm? NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm. Yes No
	If "yes," explain on a separate signed page.
4.	Are any of Contractor's corporate officers, partners or owners connected to any other construction firms? NOTE: Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm. Yes No If "yes," explain on a separate signed page.
5.	State Contractor's gross revenues for each of the last three years: 2018 2017 2016
6.	How many years has Contractor been in business in California as a contractor under its present business name and license number? years
7.	Is Contractor currently the debtor in a bankruptcy case? Yes No If "yes," please attach a copy of the bankruptcy petition, showing the case number, and the date on which the petition was filed.
8.	Was Contractor in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question 7, above)
	☐ Yes ☐ No
	If "yes," please attach a copy of the bankruptcy petition, showing the case number, and the date on which the petition was filed, and a copy of the Bankruptcy Court's discharge order, or of any other document that ended the case, if no discharge order was issued.

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	all California construction license numbers, classifications and expiration dates of the fornia contractor licenses held by Contractor:
belo	by of Contractor's license(s) are held in the name of a corporation or partnership, list we the names of the qualifying individual(s) listed on the California State Licensing and (CSLB) records who meet(s) the experience and examination requirements for each use.
——Has	Contractor changed names or license number in the past five years?
☐ ` If"y	Yes No No es," explain on a separate signed page, including the reason for the change.
firm	any owner, partner or (for corporations: officer) of Contractor operated a construction under any other name in the last five years? Yes No es," explain on a separate signed page, including the reason for the change.
Has or R	any CSLB license held by Contractor or its Responsible Managing Employee (RME) esponsible Managing Officer (RMO) been suspended within the last five years? Yes No es," please explain on a separate signed sheet.

Disputes

Note: Contractor may be immediately disqualified if the answer to any of Questions 14-23 is "Yes". A "Yes" answer given to any of Questions 14-23 must be supplemented with an explanation of the relevant circumstances for City review as part of the contractor's response to the question. The City, in its sole discretion, may evaluate the response and explanation in making a determination on disqualification. Failure to provide an explanation for any "Yes" answers to Questions 14-23 may result in immediate Contractor disqualification.

14.	At any time in the last five (5) years has Contractor been assessed and paid liquidated damages after completion of a project under a construction contract with either a public or private owner?
	☐ Yes ☐ No
	If yes, explain on a separate signed page, identifying all such projects by owner, owner's address, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.
15.	In the last five years has Contractor, or any firm with which any of Contractor's owners, officers or partners was associated with, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason? NOTE: "Associated with" refers to another construction company in which an owner, partner or officer of Contractor held a similar position, and which is listed in response to question 1c or 1d on this form.
	If "yes," explain on a separate signed page. State whether the company involved is the company applying for pre-qualification here or another company. Identify by name of the company, the name of the person within Contractor's company who was associated with that company, the year of the event, the owner of the project, the name and type of project, and the basis for the action.
16.	In the last five (5) years has Contractor been denied an award of a public works contract based on a finding by a public agency that Contractor was not a responsible bidder?
	Yes No If "yes," explain on a separate signed page. Identify the year of the event, the owner, the project and the basis for the finding by the public agency.
	NOTE: The following two questions (#17 and #18) refer only to disputes between Contractor and the owner of a project. You need not include information about disputes between Contractor and a supplier, another contractor, or subcontractor unless the owner was also a named party in the dispute. Also, you may omit reference to all disputes about amounts of less than \$25,000.

17.	In the past five (5) years has any claim <u>against</u> Contractor concerning Contractor's work on a construction project been <u>filed in court or arbitration?</u>
	Yes No If "yes," on separate signed sheets of paper for each claim, identify the claim information by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).
18.	In the past five (5) years has Contractor made any claim against a project owner concerning work on a project or payment for a contract and <u>filed that claim in court or arbitration?</u>
	Yes No If "yes," on separate signed sheets of paper identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).
19.	At any time during the past five (5) years, has any surety company made any payments on Contractor's behalf as a result of a default, to satisfy any claims made against a performance or payment bond issued on Contractor's behalf, in connection with a construction project, either public or private?
	Yes No
	If "yes," explain on a separate signed page the amount of each such claim, the name and telephone number of the claimant, the date of the claim, the grounds for the claim, the present status of the claim, the date of resolution of such claim if resolved, the method by which such was resolved if resolved, the nature of the resolution and the amount, if any, at which the claim was resolved.
20.	In the last five (5) years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for Contractor?
	☐ Yes ☐ No
	If "yes," explain on a separate signed page. Name the insurance carrier, the form of insurance and the year of the refusal.
Crimi	nal Matters and Related Civil Suits
21.	Has Contractor or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?
	☐ Yes ☐ No

	If "yes," explain on a separate signed page, including identifying who was involved, the name of the public agency, the date of the investigation and the grounds for the finding.
22.	Has Contractor or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction? Yes No
	If "yes," explain on a separate signed page, including identifying who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.
23.	Has Contractor or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty? Yes No
	If "yes," identify on a separate signed page the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.
Insura	ance
24.	Is Contractor able to obtain the insurance coverage required by this contract for this construction project? Contractors unable to obtain the required insurance coverage will be disqualified.
	☐ Yes ☐ No
25.	If the answer to the question #24 above is "yes", provide a declaration from the Insurance Company or from the broker/agent stating that Contractor is able to obtain or has insurance in the limits stated for this construction project. Either provide a declaration from the insurance company, a copy of the insurance certificate or include the following text and signature in the last paragraph of the declaration:
	"The undersigned declares under penalty of perjury that the above statements submitted are true and correct and that this declaration was executed in County, California, on (date)."
	(Name and Title, printed or typed)
	(Signature)

Bonding

26.	A) Is Contractor able to obtain bonding up to and including the cost for this construction contract estimated up to \$25 million? Contractors unable to obtain bonding for a project of this size will be disqualified.
	☐ Yes ☐ No
	B) Is Contractor able to obtain bonding of critical trades such as Mechanical, Plumbing, Electrical, Framing, and Rain-Screen over and above \$2M for each trade listed? Contractors unable or unwilling to obtain bonding for critical trades may be disqualified.
	☐ Yes ☐ No
27.	If the answer is "yes" to question #26A above, provide a notarized declaration from the Surety Company stating the amount of bonding currently available to Contractor for this construction contract. Either provide a declaration from a Surety or include the following in the last paragraph of the declaration:
	"The undersigned declares under penalty of perjury that the above statements submitted are true and correct and that this declaration was executed in County, California, on " (date)
	Name of bonding company/surety:
	Name of surety agent, address and telephone number:
	(Name and Title, printed or typed)
	(Signature)

- 28. Contractor's current bonding rate (circle/select from one of the following):
 - a. Less than 1.00%
 - b. Between 1.00% and 1.25%
 - c. Greater than 1.25%

payment bothere years.	or was required to pay a premium of more than 1.00% for a performance and ond on any project(s) on which Contractor worked at any time during the last, state the percentage that Contractor was required to pay. You may provide ion for a percentage rate higher than 1.00%, if you wish to do so.
	er sureties (name and full address) that have written bonds for Contractor during years, including the dates during which each wrote the bonds:
company, c	last five (5) years, has Contractor ever been denied bond coverage by a surety or has there ever been a period of time when Contractor had no surety bond in g a public construction project when one was required?
Yes	□ No
denied cove	ide details on a separate signed sheet indicating the date when Contractor was erage and the name of the company or companies which denied coverage; and during which Contractor had no surety bond in place.
Complianc Safety Req	e with Occupational Safety and Health Laws and with Other Legislation uirements
	OSHA cited and assessed penalties against Contractor for any "serious," "willful" violations of its safety or health regulations in the past five years?
the dates of or were iss Occupations case numbe NOTE: If	ach a separate signed page describing the citations, including information about the citations, the nature of the violation, the project on which the citation(s) was used, and the amount of penalty paid, if any. If the citation was appealed to the al Safety and Health Appeals Board and a decision has been issued, state the rand the date of the decision. Contractor has filed an appeal of a citation, and the Occupational Safety appeals Board has not yet ruled on your appeal, you need not include a about it.

33.	Has the Federal Occupational Safety and Health Administration cited and assessed penalties against Contractor in the past five years? Yes No
	If "yes," attach a separate signed page describing each citation. NOTE: If Contractor has filed an appeal of a citation and the Appeals Board has not yet ruled on Contractor's appeal, or if there is a court appeal pending, you need not include information about the citation.
34.	Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either Contractor or the owner of a project on which Contractor was the contractor, in the past five years? Yes No
	If "yes," attach a separate signed page describing each citation. NOTE: If Contractor has filed an appeal of a citation and the Appeals Board has not yet ruled on Contractor's appeal, or if there is a court appeal pending, you need not include information about the citation.
35	A) Does Contractor require documented safety meetings to be held for construction employees and field supervisors during the course of a project? Yes No
<u>35</u>	B) If "yes", how frequently does Contractor require documented safety meetings to be held for construction employees and field supervisors during the course of a project? Monthly Daily
35	C) Does Contractor require Job Hazard Analysis plans and written documentation of mitigating measures to avoid injury to construction employees during specific work tasks? Yes No
36.	A) List Contractor's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years:
	NOTE: An Experience Modification Rate is issued to Contractor annually by Contractor's workers' compensation insurance carrier.
	Current year:
	Previous year:
	Year prior to previous year:
	If your EMR for any of these three years is or was 1.00 or higher you may, if you wish, attach a letter of explanation.

37.	Within the last five years has there ever been a period when Contractor had employees but was without workers' compensation insurance or state-approved self-insurance? Yes No
	If "yes," please explain the reason for the absence of workers' compensation insurance on a separate signed page. If "no," please provide a statement by Contractor's current workers' compensation insurance carrier that verifies periods of workers' compensation insurance coverage for the last five years. (If Contractor has been in the construction business for less
	than five years, provide a statement by Contractor's workers' compensation insurance carrier verifying continuous workers' compensation insurance coverage for the period that
	Contractor has been in the construction business.)

PART III. RECENT CONSTRUCTION PROJECTS COMPLETED

EXPERIENCE REQUIREMENTS

38. Contractor shall provide information about four (4) different recently completed projects that are closely related and comparable to this Project (see project description at the beginning of this Request for Pre-Qualification), demonstrating a similarity of building systems with an equivalent level of technical complexity, and mechanical, plumbing, and electrical systems. Failure to meet these requirements will deem the Contractor disqualified. **NOTE:** Contractors not meeting the four (4) comparable projects requirement below will be disqualified. The Contractor must first meet the four (4) comparable project requirement in order for the four projects to be considered for scoring in Question 38A.

The four (4) projects must have been completed between the period of March 1, 2013 and Feb 28, 2019, have had a construction value of between \$10 million dollars and \$50 million dollars at the time of completion, and meet the requirements as outlined below:

- a. All four (4) projects submitted must have been a new ground-up building exceeding 10,000 square feet.
- b. Of the four (4) projects submitted, one (1) project must have included a commercial kitchen.
- c. Of the four (4) projects submitted, one (1) project must have had a rain screen exterior system.

Comparable building projects consist of new ground-up construction exceeding 10,000 SF and include all scopes of work associated with the building site work (demolition, grading, excavation, new utilities, drainage, concrete paving, curb and gutter, etc.), shell (slabs and foundation, framing and trusses or similar, vertical structure, exterior envelope consisting of a rain screen, roofing, etc.), interior improvements (finishes, finish carpentry, HVAC, electrical, plumbing, fire protection, etc.).

38.A Once the above requirements are met, there will be points given on the projects submitted for items below to determine Pre-qualification. It should be noted that the established point rating system heavily favors prospective Contractors submitting projects with construction experience similar in scope and size to the proposed Project, particularly in size and cost. Points will be allocated to submitted projects based on the prospective Contractor's demonstrated ability to complete projects within pre-defined project fiscal and timetable parameters. Other project assessment items include Reference checks from Owner and/or Design Professional on execution of projects submitted.

Names and references must be current and verifiable. References that are not verifiable may result in disqualification. Failure to list a minimum of four (4) projects or determination by the City that the provided information is invalid may result in immediate disqualification.

Only the first four projects will be used for point evaluation. Any additional information regarding other projects will not be considered.

For all listed projects, the prospective bidder must have acted in the role of either the General Contractor or Construction Manager (CM) at Risk Contractor. The General Contractor or CM at Risk Contractor must have held all subcontracts

NAME OF CONTRACTOR	
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PROJECT DATA SHEET # 1
(One Form Per Project – Identify Criteria met below)

1.	Project Name:
2.	Project Location:
3.	Owner's Name:
	Address:
	Phone:
	Contact Person:
4.	Architect:
	Phone:
	Contact Person:
5.	Construction Manager:
	Phone:
	Contact Person:
6.	Name of General Contractor's Project Manager and Superintendent:
7.	a. Contract value of the Project at the time of award:
	b. Floor Area: SF
8.	Was Project completed on time, including owner approved time extensions? YES NO
	Start Date: Contract Completion Date (Original scheduled completion date plus time extensions granted):Actual Completion Date:
9.	Any Liquidated Damages Assessed? YES NO
10.	Final contract value of the Project after all change orders and/or liquidated damages:

11.	Did the Project include any of the following:		
	A. Wood-framed and steel construction over 10,000 SF?B. Commercial café or kitchen?C. Exterior rain screen system with waterproofing?	YES YES YES YES	NO
12.	Describe the structural system (e.g. steel frame, wood frame, grade etc.):	e beams, cast-in-p	place concrete,
13.	Describe the exterior building membrane. Preference will be give exterior building envelope and special construction required (wate support system and design responsibility for attachment, exterior i attachment, rain screen panel system and manufacturer). Provide and any drawing information to illustrate the system.	rproofing membr nsulation installa	rane type, girt tion and
14.	Please indicate the number of subcontractors, if any, that were rep due to non-performance, refusal to execute a contract, bankruptcy provide a bond.		

End of Project Data Sheet

NIA BAR OF CONTRIB A OTTOR		
NAME OF CONTRACTOR		

PROJECT DATA SHEET # 2

(One Form Per Project – Identify Criteria met below)

l.	Project Name:
2.	Project Location:
3.	Owner's Name:
	Phone:
	Contact Person:
4.	Architect:
	Phone:
	Contact Person:
5.	Construction Manager:
	Phone:
	Contact Person:
6.	Name of General Contractor's Project Manager and Superintendent:
7.	a. Contract value of the Project at the time of award:
	b. Floor Area: SF
8.	Was Project completed on time, including owner approved time extensions? YES NO
	Start Date: Contract Completion Date (Original scheduled completion date plus time extensions granted):Actual Completion Date:
9.	Any Liquidated Damages Assessed? YES NO
10.	Final contract value of the Project after all change orders and/or liquidated damages:

11.	Did the Project include any of the following:		
	A. Wood-framed and steel construction over 10,000 SF?B. Commercial café or kitchen?C. Exterior rain screen system with waterproofing?	YES YES YES	NO
12.	Describe the structural system (e.g. steel frame, wood frame, grade etc.):	beams, cast-in-p	place concrete,
13.	Describe the exterior building membrane. Preference will be given exterior building envelope and special construction required (water support system and design responsibility for attachment, exterior in attachment, rain screen panel system and manufacturer). Provide of and any drawing information to illustrate the system.	rproofing memb nsulation installa	rane type, girt tion and
14.	Please indicate the number of subcontractors, if any, that were repl due to non-performance, refusal to execute a contract, bankruptcy provide a bond.		

End of Project Data Sheet

NAME OF CONTRACTOR___

PROJECT DATA SHEET # 3

(One Form Per Project – Identify Criteria met below)

1.	Project Name:				
2.	Project Location: _				
3.	Address:				
	Phone:	.——————————————————————————————————————			
	Contact Person:				
4.	Architect:	,			
	Phone:				
	Contact Person:	9===	14		
5.	Construction Mana	ager:			
	Phone:				
	Contact Person:				
6.	Name of General	Contractor's Project Mana	iger and Superintender	nt:	
7.	a. Contract value	e of the Project at the time of	of award:	(a)	
	b. Floor Area:	SF			
8.	Was Project comp	pleted on time, including o	wner approved time ex	xtensions? YES	□NO □
	•	ion Date (Original schedule	•		_
9.	Any Liquidated I	Damages Assessed?		YES 🗌	NO 🗌
10	Final contract val	lue of the Project after all c	hange orders and/or li	auidated damage	26.

11.	Did the Project include any of the following:		
1	A. Wood-framed and steel construction over 10,000 SF? B. Commercial café or kitchen? C. Exterior rain screen system with waterproofing?	YES YES YES	NO NO NO
12.	Describe the structural system (e.g. steel frame, wood frame, etc.):	, grade beams, cast-	in-place concrete,
13.	Describe the exterior building membrane. Preference will be exterior building envelope and special construction required support system and design responsibility for attachment, exteattachment, rain screen panel system and manufacturer). Pro and any drawing information to illustrate the system.	(waterproofing men erior insulation insta	nbrane type, girt
14.	Please indicate the number of subcontractors, if any, that we project, due to non-performance, refusal to execute a contract failure/refusal to provide a bond.		

End of Project Data Sheet

NAME OF CONTRACTOR_

<u>PROJECT DATA SHEET # 4</u>
(One Form Per Project – Identify Criteria met below)

1.	Project Name:		
2.	Project Location:		
3.	Owner's Name:		
	Address:		
	Phone:		
	Contact Person:		
4.	Architect:		
	Phone:		
	Contact Person:		
5.	Construction Manager:		
	Phone:		
	Contact Person:		
6.	Name of General Contractor's Project Manager and Superintende	nt:	
7.	a. Contract value of the Project at the time of award:	_	
	b. Floor Area: SF		
8.	Was Project completed on time, including owner approved time e	xtensions? YES	□NO □
	Start Date:Contract Completion Date (Original scheduled completion date pluActual Completion Da		
9.	Any Liquidated Damages Assessed?	YES 🗌	NO 🗌
10	. Final contract value of the Project after all change orders and/or li	ouidated damage	es:

11.	Did the Project include any of the following:		
	A. Wood-framed and steel construction over 10,000 SF?B. Commercial café or kitchen?C. Exterior rain screen system with waterproofing?	YES YES YES	NO
12.	Describe the structural system (e.g. steel frame, wood frame, g etc.):	grade beams, cast-	in-place concrete,
13.	Describe the exterior building membrane. Preference will be a exterior building envelope and special construction required (v support system and design responsibility for attachment, exter attachment, rain screen panel system and manufacturer). Prov and any drawing information to illustrate the system.	vaterproofing me	mbrane type, girt allation and
14.	Please indicate the number of subcontractors, if any, that were project, due to non-performance, refusal to execute a contract, failure/refusal to provide a bond.		

End of Project Data Sheet

PREQUALIFICATION DECLARATION

l,	, hereby declare that I am
(Prin	nted Name)
	of
(Title)	(Name of Firm)
Pre-qualification Questionn foregoing answers and known	re-qualification Questionnaire; I am duly authorized to sign this naire on behalf of the above named firm; and I have read all the aw all of their contents and that all information set forth in this naire and all attachments hereto are, to the best of my knowledge, as of its submission date.
	nd declares under penalty of perjury under the laws of the State poing is true and correct and that this declaration was executed in County, California, on
	(Date)
	(Signature)

NON-COLLUSION AFFIDAVIT

The undersigned states and certifies the following:

- A. The pre-qualification package is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation.
- B. The pre-qualification package is genuine and not collusive or sham.
- C. The bidder has not directly or indirectly induced or solicited any other bidder to submit a false or sham pre-qualification package.
- D. The bidder has not directly or indirectly colluded, conspired, connived, or agreed with any person, firm, company, or anyone else to submit a sham pre-qualification package, or to refrain from submitting.
- E. All statements contained in the pre-qualification package are true.
- F. Any person executing this declaration on behalf of a bidder that is a corporation, partnership, joint venture, limited liability company, limited liability partnership, or any other entity, hereby represents that he or she has full power to execute, and does execute, this declaration on behalf of the bidder.

I declare under penalty of perjury, under the laws of the State of California, that the foregoing is true and correct and that this declaration is executed on:

	, at	,	<u> </u>
Date	City	State	
Signature of Autho	orized Representative	Title	
Print Name		Company Name	

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